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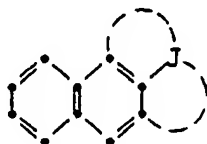
(71) Applicant: **EASTMAN KODAK COMPANY**  
**343 State Street**  
**Rochester, New York 14650(US)**

(72) Inventor: **Henzel, Richard Paul Eastman**  
**Kodak Company**  
**Patent Department 343 State Street**  
**Rochester New York 14650(US)**

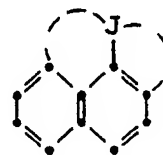
(74) Representative: **Brandes, Jürgen, Dr.**  
**Wuesthoff & Wuesthoff Patent- und**  
**Rechtsanwälte Schweigerstrasse 2**  
**D-8000 München 90(DE)**

(54) **Thermally-transferable polycyclic-aromatic fluorescent materials.**

(57) A donor element for thermal transfer comprising a support having on one side thereof a fluorescent polycyclic-aromatic compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant. In a preferred embodiment, the compound has the formula:



or



wherein J represents the atoms necessary to complete one or two aromatic carbocyclic or heterocyclic rings.

**EP 0 362 640 A1**

## THERMALLY-TRANSFERABLE POLYCYCLIC-AROMATIC FLUORESCENT MATERIALS

This invention relates to fluorescent donor elements used in thermal transfer.

In recent years, thermal transfer systems have been developed to obtain prints from pictures which have been generated electronically from a color video camera. According to one way of obtaining such prints, an electronic picture is first subjected to color separation by color filters. The respective color-separated images are then converted into electrical signals. These signals are then operated on to produce cyan, magenta and yellow electrical signals. These signals are then transmitted to a thermal printer. To obtain the print, a cyan, magenta or yellow dye-donor element is placed face-to-face with a dye-receiving element. The two are then inserted between a thermal printing head and a platen roller. A line-type thermal printing head is used to apply heat from the back of the dye-donor sheet. The thermal printing head has many heating elements and is heated up sequentially in response to the cyan, magenta and yellow signals. The process is then repeated for the other two colors. A color hard copy is thus obtained which corresponds to the original picture viewed on a screen. Further details of this process and an apparatus for carrying it out are contained in U.S. Patent No. 4,621,271.

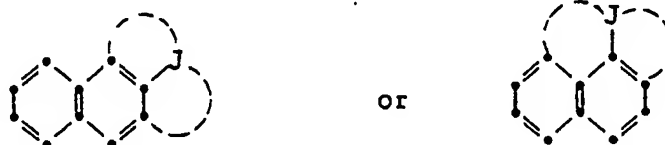
The system described above has been used to obtain visible dye images. However, for security purposes, to inhibit forgeries or duplication, or to encode confidential information, it would be advantageous to create non-visual ultraviolet absorbing images that fluoresce with visible emission when illuminated with ultraviolet light.

U.S. Patent 4,627,997 discloses a fluorescent thermal transfer recording medium comprising a thermally-meltable, wax ink layer. In that system, the fluorescent material is transferred along with the wax material when it is melted. Wax transfer systems, however, are incapable of providing a continuous tone. Further, the fluorescent materials of that reference are incapable of diffusing by themselves in the absence of the wax matrix. It is an object of this invention to provide fluorescent materials useful in a continuous tone system which have sufficient diffusivity to transfer by themselves from a donor element to a dye-receiver.

These and other objects are achieved in accordance with this invention which comprises a donor element for thermal transfer comprising a support having on one side thereof a fluorescent polycyclic-aromatic compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant.

In a preferred embodiment of the invention, the compound has the formula:

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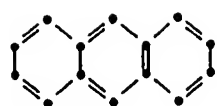
wherein J represents the atoms necessary to complete one or two aromatic carbocyclic or heterocyclic rings.

In a preferred embodiment of the invention, J represents the atoms necessary to complete a 6-membered carbocyclic ring. In another preferred embodiment, J represents the atoms necessary to complete two 6-membered carbocyclic rings.

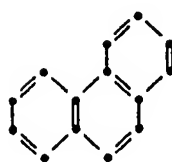
The phenyl rings in the compounds described above may be substituted as long as such substitution does not interfere with the intended performance of the compounds.

Compounds included within the scope of the invention include the following:

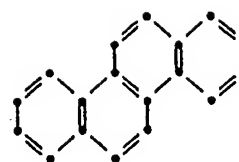
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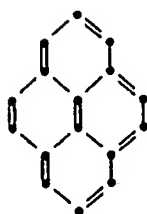
Compound 1  
Anthracene



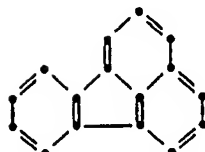
Compound 2  
Phenanthrene



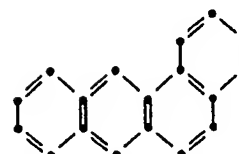
Compound 3  
Chrysene



Compound 4  
Pyrene

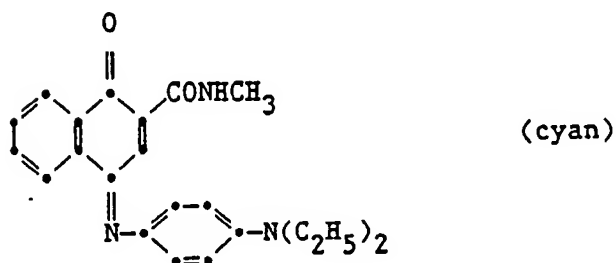
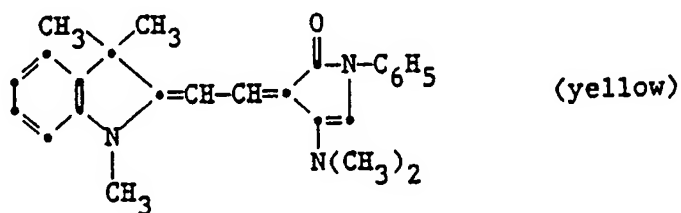
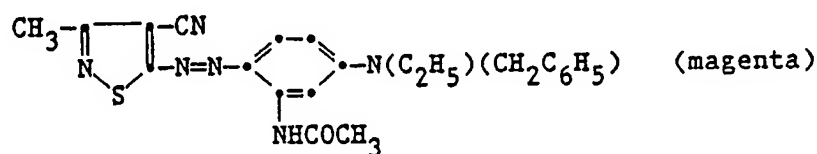


Compound 5  
Fluoranthene



Compound 6  
Benz( $\alpha$ )anthracene

The above compounds are available commercially from Kodak Laboratory and Research Products.  
A visible dye can also be used in a separate area of the donor element of the invention provided it is transferable to the dye-receiving layer by the action of heat. Especially good results have been obtained with sublimable dyes such as



or any of the dyes disclosed in U.S. Patent 4,541,830. The above dyes may be employed singly or in combination to obtain a monochrome. The dyes may be used at a coverage of from 0.05 to 1 g/m<sup>2</sup> and are preferably hydrophobic.

The fluorescent material in the donor element of the invention is dispersed in a polymeric binder such as a cellulose derivative, e.g., cellulose acetate hydrogen phthalate, cellulose acetate, cellulose acetate propionate, cellulose acetate butyrate, cellulose triacetate; a polycarbonate; poly(styrene-co-acrylonitrile), a poly(sulfone) or a poly(phenylene oxide). The binder may be used at a coverage of from 0.1 to 5 g/m<sup>2</sup>.

The fluorescent material layer of the donor element may be coated on the support or printed thereon by a printing technique such as a gravure process.

Any material can be used as the support for the donor element of the invention provided it is dimensionally stable and can withstand the heat of the thermal printing heads. Such materials include polyesters such as poly(ethylene terephthalate); polyamides; polycarbonates; glassine paper; condenser paper; cellulose esters; fluorine polymers; polyethers; polyacetals; polyolefins; and polyimides. The support generally has a thickness of from 2 to 30  $\mu$ m. It may also be coated with a slipping layer, if desired.

The reverse side of the donor element is coated with a slipping layer to prevent the printing head from sticking to the donor element. Such a slipping layer would comprise a lubricating material such as a surface active agent, a liquid lubricant, a solid lubricant or mixtures thereof, with or without a polymeric binder. Preferred lubricating materials include oils or semi-crystalline organic solids that melt below 100° C such as any of those materials disclosed in U. S. Patents 4,717,711, 4,737,485, 4,738,950 and 4,717,712. Suitable polymeric binders for the slipping layer include poly(vinyl alcohol-co-butyral), poly(vinyl alcohol-co-acetal), poly(styrene), poly(vinyl acetate), cellulose acetate butyrate, cellulose acetate propionate, cellulose acetate or ethyl cellulose.

The amount of the lubricating material to be used in the slipping layer depends largely on the type of lubricating material, but is generally in the range of .001 to 2 g/m<sup>2</sup>. If a polymeric binder is employed, the lubricating material is present in the range of 0.1 to 50 weight %, preferably 0.5 to 40, of the polymeric binder employed.

The receiving element that is used with the donor element of the invention usually comprises a support having thereon an image-receiving layer. The support may be a transparent film such as a poly(ether sulfone), a polyimide, a cellulose ester such as cellulose acetate, a poly(vinyl alcohol-co-acetal) or a poly(ethylene terephthalate). The support for the receiving element may also be reflective such as baryta-coated paper, polyethylene-coated paper, white polyester (polyester with white pigment incorporated therein), an ivory paper, a condenser paper or a synthetic paper such as duPont Tyvek®.

The image-receiving layer may comprise, for example, a polycarbonate, a polyurethane, a polyester, polyvinyl chloride, poly(styrene-co-acrylonitrile), poly(caprolactone) or mixtures thereof.

As noted above, the donor elements of the invention are used to form a transfer image. Such a process comprises imagewise-heating a donor element as described above and transferring a fluorescent material image to a receiving element to form the transfer image.

The donor element of the invention may be used in sheet form or in a continuous roll or ribbon. If a continuous roll or ribbon is employed, it may have only the fluorescent polycyclic-aromatic thereon as described above or may have alternating areas of different dyes, such as sublimable magenta and/or yellow and/or cyan and/or black or other dyes. Such dyes are disclosed in U. S. Patents 4,541,830; 4,698,651; 4,695,287; 4,701,439; 4,757,046; 4,743,582; and 4,753,922. Thus, one-, two-, three- or four-color elements (or higher numbers also) are included within the scope of the invention.

In a preferred embodiment of the invention, the donor element comprises a poly(ethylene terephthalate) support coated with sequential repeating areas of magenta, yellow, and cyan dye and the fluorescent material as described above, and the above process steps are sequentially performed for each color to obtain a three-color dye transfer image containing a fluorescent image.

A thermal transfer assemblage of the invention comprises

- a) a donor element as described above, and
- b) a receiving element as described above, the receiving element being in a superposed relationship with the donor element so that the fluorescent material layer of the donor element is in contact with the image-receiving layer of the receiving element.

The following example is provided to illustrate the invention.

#### Example

A donor element was prepared by coating the following layers in the order recited on a 6  $\mu$ m poly-

(ethylene terephthalate) support:

- 1) a subbing layer of duPont Tyzor TBT® titanium tetra-n-butoxide ( $0.12 \text{ g/m}^2$ ) from 1-butanol; and
- 2) a layer containing the fluorescent material identified above or control fluorescent material identified below ( $0.16 \text{ g/m}^2$ ) in a cellulose acetate propionate (2.5% acetyl and 45% propionyl) binder ( $0.44 \text{ g/m}^2$ ) coated from a cyclopentanone, toluene and methanol solvent mixture.

On the back side of the element was coated:

- 1) a subbing layer of duPont Tyzor TBT® titanium tetra-n-butoxide ( $0.12 \text{ g/m}^2$ ) from 1-butanol; and
- 2) a slipping layer of Emralon 329® polytetrafluoroethylene dry film lubricant (Acheson Colloids) ( $0.54 \text{ g/m}^2$ ) coated from a n-propyl acetate, toluene, 2-propanol and 1-butanol solvent mixture.

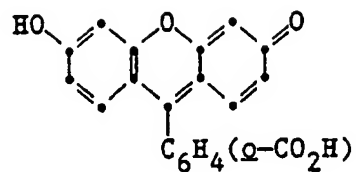
#### Control Materials

The following materials are available commercially from Kodak Laboratory Products and Chemicals

Division:

Control 1

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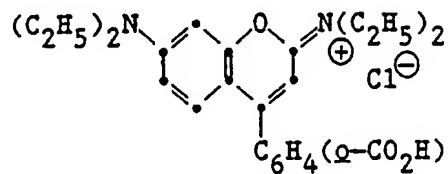


Fluorescein

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Control 2

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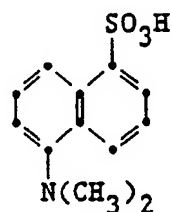


Rhodamine B

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Control 3

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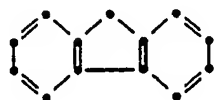


DANS Acid

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Control 4

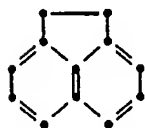
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Fluorene

Control 5

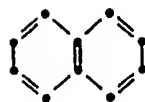
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Acenaphthene

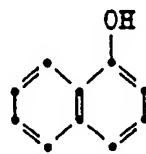
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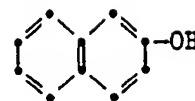


Control 6  
Naphthalene

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Control 7  
1-Naphthol



Control 8  
2-Naphthol

A receiving element was prepared by coating a solution of Makrolon 5705® (Bayer A.G. Corporation) polycarbonate resin (2.9 g/m<sup>2</sup>) and FC-431® surfactant (3M Corporation) (0.16 g/m<sup>2</sup>) in a methylene chloride and trichloroethylene solvent mixture on a transparent 175 µm polyethylene terephthalate support.

5 The fluorescent material layer side of the donor element strip approximately 9 cm x 12 cm in area was placed in contact with the image-receiving layer of the receiver element of the same area. The assemblage was fastened in the jaws of a stepper motor driven pulling device. The assemblage was laid on top of a 14 mm diameter rubber roller and a TDK Thermal Head L-133 (No. 6-2R16-1) and was pressed with a spring at a force of 3.6 kg against the donor element side of the assemblage pushing it against the rubber roller.

10 The imaging electronics were activated causing the pulling device to draw the assemblage between the printing head and roller at 3.1 mm/sec. Coincidentally, the resistive elements in the thermal print head were pulsed at a per pixel pulse width of 8 msec to generate a graduated density image. The voltage supplied to the print head was approximately 25 v representing approximately 1.6 watts/dot (13 mjoules/dot).

15 The receiving element was separated from the donor element and the relative emission was evaluated with a spectrofluorimeter used a fixed intensity 360 nm excitation beam and measuring the relative area under the emission spectrum from 375 to 700 nm. The following results were obtained:

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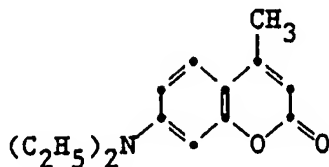
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Table

	<u>Compound</u>	<u>Relative Emission*</u>	<u>Visual Color</u>
5	None	7	Not visible
	Comparison*	100	Blue
	Anthracene	22	Blue
10	Phenanthrene	8	Blue
	Chrysene	**	Faint purple
	Pyrene	**	Blue-green
	Fluoranthene	**	Blue
15	Benz( $\alpha$ )anthracene	**	Blue
	Control 1	<1	Not visible
	Control 2	<1	Not visible
20	Control 3	<1	Not visible
	Control 4	**	Faint fluorescence
	Control 5	**	Not visible
25	Control 6	**	Not visible
	Control 7	**	Not visible
	Control 8	**	Not visible

30 \* Compared to the following compound, normalized to 100:



\*\* Not determined, only visual color observed

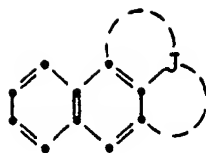
45 The above results show that the compounds of the invention have much more fluorescence than the control compounds of the prior art.

#### 50 Claims

1. A donor element for thermal transfer comprising a support having on one side thereof a fluorescent polycyclic-aromatic compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant.
2. The element of Claim 1 characterized in that said compound has the formula:



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or



wherein J represents the atoms necessary to complete one or two aromatic carbocyclic or heterocyclic rings.

3. The element of Claim 2 characterized in that J represents the atoms necessary to complete a 6-membered carbocyclic ring.

4. The element of Claim 2 characterized in that J represents the atoms necessary to complete two 6-membered carbocyclic rings.

5. The element of Claim 2 characterized in that said compound is anthracene, phenanthrene, chrysene, pyrene, fluoranthene, or benz(α)anthracene.

6. The element of Claim 1 characterized in that said donor element comprises sequential repeating areas of magenta, yellow and cyan dye, and said fluorescent compound.

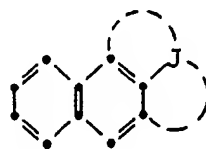
7. A thermal transfer assemblage comprising:

- a) a donor element comprising a support having on one side thereof a layer comprising a material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and
- b) a receiving element comprising a support having thereon an image-receiving layer, said receiving element being in a superposed relationship with said donor element so that said material layer is in contact with said image-receiving layer, characterized in that said material is a fluorescent polycyclic-aromatic compound.

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8. The assemblage of Claim 7 characterized in that said compound has the formula:

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or



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wherein J represents the atoms necessary to complete one or two aromatic carbocyclic or heterocyclic rings.

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# EUROPEAN SEARCH REPORT

Application Number

EP 89 11 7519

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
A	EP-A-0 001 068 (H.A. FROMSON) * Page 11, "Yellow 13" * ---	1-8	B 41 M 5/26
A	PATENT ABSTRACTS OF JAPAN, vol. 12, no. 55 (M-669)[2902], 19th February 1988; & JP-A-62 201 287 (MITSUBISHI CHEMICAL INDUSTRIES) 04-09-1987 ---	1-8	
A	EP-A-0 138 483 (MATSUSHITA ELECTRIC INDUSTRIAL) * Claims * -----	1-8	
			TECHNICAL FIELDS SEARCHED (Int. Cl.5)
			B 41 M 5/00
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 09-01-1990	Examiner BACON, A.J.
<b>CATEGORY OF CITED DOCUMENTS</b> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ----- & : member of the same patent family, corresponding document			

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